

# NB Global Floating Rate Income Fund Limited

NEUBERGER BERMAN

## PORTFOLIO HOLDINGS As at September 30, 2017

Issuer	Security	Currency	Sector	Maturity	Current Yield (%)
1011778 Bc Unlimited Liability Co	1011778 BC UNLIMITED LIABILITY CO RegS	USD	Food Service	15/10/2025	4.828
99 Cents Only Stores	99 CENTS ONLY STORES 1L TL-B2	USD	Retailers	11/01/2019	5.042
American Builders & Contractors Supply Co. Inc.	ABC 1L TL-B	USD	Building & Dev	31/03/2023	3.722
Wand Intermediate I LP	ABRA 1L TL	USD	Automotive	17/09/2021	4.293
Wand Intermediate I LP	ABRA 2L TL	USD	Automotive	17/09/2022	8.547
Acosta Inc	ACOSTA 1L TL-B1	USD	Business Equip & Services	26/09/2021	5.084
ADS Waster Holdings Inc	ADS WASTE 1L TL-B	USD	Ecological Services & Equip	10/11/2023	3.924
Advantage Sales & Marketing Inc	ADVANTAGE SALES & MARKETING 1L TL	USD	Business Equip & Services	25/07/2021	4.774
Advantage Sales & Marketing Inc	ADVANTAGE SALES & MARKETING 2L TL	USD	Business Equip & Services	25/07/2022	8.647
Advantage Sales & Marketing Inc	ADVANTAGE SOLUTIONS 1L TL-B	USD	Business Equip & Services	25/07/2021	4.855
Aercap Holdings	AERCAP 1L TL-B	USD	Equipment Leasing	30/10/2022	3.315
Air Medical Group Holdings Inc	AIR MEDICAL 1L TL-B	USD	Health Care	26/09/2024	5.257
Air Medical Buyer Corp	AIR MEDICAL 1L TL-B OLD	USD	Health Care	28/04/2022	4.520
Air Medical Group Holdings Inc	AIR MEDICAL 1L TL-B1 NEW	USD	Health Care	28/04/2022	5.245
Safeway Inc	ALBERTSONS 1L TL-B4	USD	Food & Drug Retailers	25/08/2021	4.136
Safeway Inc	ALBERTSONS 1L TL-B5	USD	Food & Drug Retailers	21/12/2022	4.498
Safeway Inc	ALBERTSONS 1L TL-B6	USD	Food & Drug Retailers	22/06/2023	4.497
Alkermes Lip	ALIX PARTNERS 1L TL-B	USD	Business Equip & Services	04/04/2024	4.325
Al Chem & CY	ALLNEX 1L TL-B EUR	EUR	Chemical	13/09/2023	3.243
Alnex Sarl	ALLNEX 1L TL-B2	USD	Chemical	13/09/2023	4.554
Alnex Sarl	ALLNEX 1L TL-B3	USD	Chemical	13/09/2023	4.550
Alm Loan Funding Alm. 12-7A	ALM. 7 DR 144A	USD	Financial Intermediaries	15/10/2028	8.289
Dallan Hexing Investment Co Ltd	AMC ENTERTAINMENT 1L TL-B	USD	Leisure	15/12/2022	3.493
American Airlines	AMERICAN AIRLINES 1L TL	USD	Air Transport	28/04/2023	3.726
American Airlines	AMERICAN AIRLINES 1L TL-B	USD	Air Transport	27/06/2020	3.237
American Airlines	AMERICAN AIRLINES 1L TL-B	USD	Air Transport	10/10/2021	3.235
Americold Realty Trust	AMERICOLD REALTY OPERATING 1L TL-B	USD	Financial Intermediaries	01/12/2022	4.936
Apidos CLO	APIDOS 2015-2 D 144A	USD	Financial Intermediaries	20/10/2027	7.289
Applied Systems Inc	APPLIED SYSTEMS 1L TL-B	USD	Electronics	18/09/2024	4.523
Aramark Services Inc	ARAMARK 1L TL-B	USD	Food Service	02/03/2024	3.226
Ardayn Group Sa	ARDAGH HOLDINGS USA INC 144A	USD	Containers & Glass	15/09/2022	4.143
Aristocrat Leisure Ltd	ARISTOCRAT LEISURE 1L TL	USD	Hotels & Casinos	20/10/2021	3.300
Coqoco Communications (Usa) II Lp	ATLANTIC BROADBAND 1L TL-B	USD	Cable TV	11/08/2024	2.391
Avast Holding	AVAST SOFTWARE 1L TL-B EUR	EUR	Electronics	30/09/2023	3.475
Avast Software Bv	AVAST SOFTWARE 1L TL-B USD	USD	Electronics	30/09/2023	4.560
Avis Budget Group	AVIS BUDGET 1L TL-B	USD	Surface Transport	15/03/2022	3.361
Avolon Tlb Borrower 1 Sarl	AVOLON 1L TL-B2	USD	Equipment Leasing	20/03/2022	3.979
Avista Coating Systems Us Holdings Inc	AVAILTA COATING SYSTEMS 1L TL	USD	Chemical	01/06/2024	3.318
Bass Pro Group LLC	BASS PRO 1L TL-B	USD	Retailers	25/09/2024	6.627
Beacon Roofing	BEACON ROOFING SUPPLY 1L TL-B	USD	Building & Dev	01/10/2022	3.967
Belmond Interfin Ltd	BELMOND 1L TL-B EUR	EUR	Hotels & Casinos	03/07/2024	2.984
Berlin Packaging Llc	BERLIN PACKAGING 1L TL-B	USD	Containers & Glass	01/10/2021	4.509
Berlin Packaging LLC	BERLIN PACKAGING 2L TL	USD	Containers & Glass	01/10/2022	7.990
Berry Plastics 1	BERRY PLASTICS 1L TL-B NEW	USD	Containers & Glass	01/10/2022	3.476
Big River Steel Llc	BIG RIVER STEEL LLC RegS	USD	Steel	01/09/2025	6.824
Bis Wholesale Club Inc	BJS WHOLESALE CLUB 2L TL	USD	Retailers	27/01/2025	9.166
Beacon Holdings Inc	BJS WHOLESALE CLUB INC 1L TL-B	USD	Retailers	27/01/2024	5.211
BMC Software Inc	BMC SOFTWARE 1L TL EUR	EUR	Electronics	10/09/2022	4.478
Boyd Gaming Corp	BOYD GAMING 1L TL-B	USD	Hotels & Casinos	15/09/2023	3.884
Brickman Group Ltd LLC	BRICKMAN GROUP HOLDINGS 2L TL	USD	Business Equip & Services	18/12/2021	7.712
Brickman Group Holdings Inc	BRICKMAN GROUP HOLDINGS INC TL	USD	Business Equip & Services	18/12/2020	4.316
Brook Holdings III Inc	BROCK HOLDINGS III INC 2L TL-B	USD	Business Equip & Services	16/03/2018	12.058
1011778 Bc Unlimited Liability Co	BURGER KING 1L TL-B	USD	Food Service	17/02/2024	3.529
Bway Holding Co	BWAY 1L TL	USD	Containers & Glass	03/04/2024	4.471
Bway Holding Co	BWAY HOLDING CO 144A	USD	Containers & Glass	15/04/2024	5.269
Cablevision Systems Corp	CABLEVISION 1L TL-B	USD	Cable TV	17/07/2025	3.499
Caesars Entertainment Corp	CAESARS ENTERTAINMENT 1L TL-B EXIT	USD	Hotels & Casinos	04/04/2024	2.999
Ch Hold Corp	CALIBER COLLISION 1L TL	USD	Automotive	01/02/2024	4.203
Calpine Corp	CALPINE 1L TL-B5	USD	Utilities	16/01/2024	4.100
Calpine Corp	CALPINE 1L TL-B6	USD	Utilities	15/01/2023	4.098
Calpine Corp	CALPINE 1L TL-B7	USD	Utilities	31/05/2023	4.098
Calpine Corp	CALPINE CONSTRUCTION 1L TL-B1	USD	Utilities	03/05/2020	3.497
Calpine Corp	CALPINE CONSTRUCTION 1L TL-B2	USD	Utilities	31/01/2022	3.746
Capital Automotive LP	CAPITAL AUTOMOTIVE 1L TL-B	USD	Building & Dev	24/03/2024	4.219
Capital Automotive LP	CAPITAL AUTOMOTIVE 2L TL	USD	Building & Dev	24/03/2025	7.159
Catalent Pharma Solutions Inc	CATALENT PHARMA SOL 1L TL-B USD	USD	Drugs	20/05/2021	3.959
Coc Information Services Group Inc	OCC INFORMATION SERVICES 1L TL-B	USD	Business Equip & Services	29/04/2024	4.248
Cdw Llc	CDW 1L TL-B	USD	Retailers	17/08/2023	3.327
CentLink Inc	CENTURYLINK 1L TL-B	USD	Telecommunication	31/08/2025	2.839
Alice Us Finance I Corp	CEQUEL 1L TL-B	USD	Cable TV	14/07/2025	3.491
Cerba Healthcare Sasu	CERBA HEALTHCARE 1L TL EUR	EUR	Health Care	17/03/2024	3.013
Change Healthcare Holdings Inc	CHANGE HEALTHCARE HLDGS 1L TL-B	USD	Business Equip & Services	01/03/2024	3.976
Charter Communications	CHARTER COMMUNICATIONS 1L TL-I	USD	Cable TV	15/01/2024	3.477
Cheniere Corpus Christi Holdings Lc	CHENIERE CORPUS CHRISTI HOLDINGS L	USD	Utilities	31/03/2025	5.459
Cheniere Corpus Christi Holdings Lc	CHENIERE CORPUS CHRISTI HOLDINGS L RegS	USD	Utilities	30/06/2027	4.970
Chesapeake Energy	CHESAPEAKE ENERGY 1L TL	USD	Oil & Gas	23/08/2021	8.188
Chesapeake Energy	CHESAPEAKE ENERGY CORPORATION 144A	USD	Oil & Gas	15/12/2022	7.416
Chesapeake Energy	CHESAPEAKE ENERGY CORPORATION RegS	USD	Oil & Gas	15/12/2022	7.425
Citico Group Ltd	CITCO GROUP 1L TL	USD	Financial Intermediaries	03/04/2022	4.200
CityCenter Holdings	CITYCENTER 1L TL-B	USD	Hotels & Casinos	18/04/2024	3.721
HeartCommunications Inc	CLEAR CHANNEL COMMS 1L TL-D	USD	Broadcast Radio & Tv	30/01/2019	10.490
Clubcorp Club Operations Inc	CLUBCORP 1L TL-B	USD	Leisure	15/08/2024	4.619
Coherent Inc	COHERENT 1L TL-B EUR	EUR	Industrial Equip	07/11/2023	2.971
Commscope Holding Co Inc	COMMSCOPE 1L TL-B	USD	Electronics	29/12/2022	3.229
Unifi Group Inc	COMMUN SALES AND LEASING 1L TL	USD	Telecommunication	24/10/2022	4.583
Community Health Systems	COMMUNITY HEALTH SYSTEMS 1L TL-G1	USD	Health Care	31/12/2019	4.093
Community Health Systems	COMMUNITY HEALTH SYSTEMS 1L TL-H1	USD	Health Care	27/01/2021	4.348
MI Acquisition Corp	CONCENTRA 1L TL-I	USD	Health Care	01/06/2022	4.311
Consolidated Communications Inc	CONSOLIDATED COMM 1L TL-B	USD	Telecommunication	05/10/2023	4.362
Consolidated Container Company Lc	CONSOLIDATED CONTAINER 1L TL-B	USD	Containers & Glass	09/05/2024	4.709
Constantia Flexibles Holding Gmbh	CONSTANTIA FLEX HLDG 1L TL-B EUR	EUR	Containers & Glass	29/04/2022	3.991
Constantia Flexibles Holding Gmbh	CONSTANTIA FLEXIBLE 1L TL-B2 USD	USD	Containers & Glass	29/04/2022	4.256
Constantia Flexibles Holding Gmbh	CONSTANTIA FLEXIBLES 1L TL-B1 USD	USD	Containers & Glass	29/04/2022	4.259
Constantia Flexibles Holding Gmbh	CONSTANTIA FLEXIBLES 1L TL-B2A EUR	EUR	Containers & Glass	29/04/2022	3.991
Convergence Llc	CONVERGEONE 1L TL-B	USD	Business Equip & Services	02/06/2024	6.098
Cooper Standard Holdings Inc	COOPER-STANDARD AUTOMOTIVE 1L TL-B	USD	Automotive	02/11/2023	3.571
Cowlitz Tribal Gaming Authority	COWLITZ TRIBAL GAMING 1L TL-B	USD	Hotels & Casinos	06/12/2021	10.572
Redcap Acquisitions Ltd	CPA GLOBAL 1L TL-B EUR	EUR	Business Equip & Services	03/12/2020	4.000
Redcap Acquisitions Ltd	CPA GLOBAL 1L TL-B USD	USD	Business Equip & Services	03/12/2020	4.811
Tricor Pacific Capital Partners (Fund Iv) LP	CPI CARD 1L TL	USD	Electronics	17/08/2022	8.437
Crosby Us Acquisition Corp	CROSBY WORLDWIDE 1L TL	USD	Industrial Equip	22/11/2020	4.677
Crosby Us Acquisition Corp	CROSBY WORLDWIDE 2L TL	USD	Industrial Equip	22/11/2021	8.866
Cablevision Systems Corp	CSC HOLDINGS LLC	USD	Cable TV	15/11/2021	6.109
Spin Holdco Inc	OCS SERVICEWORKS 1L TL-B	USD	Business Equip & Services	14/11/2022	4.993
Cumulus Media Inc	CUMULUS MEDIA HOLDINGS 1L TL-B	USD	Broadcast Radio & Tv	23/12/2020	5.393
Sophia LP	DATATEL SOPHIA LP 1L TL-B	USD	Electronics	30/09/2022	4.591
DaVita Inc	DAVITA INC 1L TL-B	USD	Health Care	24/06/2021	3.962
Del Monte Foods Inc	DEL MONTE FOODS 1L TL	USD	Food Products	18/02/2021	5.343
Dell Inc	DELL INTERNATIONAL LLC 1L TL	USD	Electronics	07/09/2023	3.728
Digicert Holdings Inc	DIGICERT HOLDINGS 1L TL-B2	USD	Electronics	15/09/2024	5.693
Digicert Holdings Inc	DIGICERT HOLDINGS 2L TL	USD	Electronics	15/09/2025	8.929
Ramond Bc Bv	DIVERSEY 1L TL EUR	EUR	Business Equip & Services	25/07/2024	3.258
Clark Equipment Company	DOOSAN BOBCAT 1L TL-B	USD	Industrial Equip	19/05/2024	4.061
Doma Sports Sl	DORNA 1L TL EUR	EUR	Leisure	31/12/2023	3.246
DTZ Holdings Plc	DTZ 1L TL-B	EUR	Building & Dev	04/11/2021	4.558
Dyneqy Inc	DYNEGY 1L TL-C	USD	Utilities	07/02/2024	4.467
Dyneqy Inc	DYNEGY INC	USD	Utilities	01/11/2024	7.358
Eagleclaw	EAGLECLAW 1L TL	USD	Oil & Gas	06/06/2024	5.486
Eastern Power Llc	EASTERN POWER 1L TL-B	USD	Utilities	02/10/2023	4.962
Eircom Finco Sarl	EIRCOM 1L TL-B EUR	EUR	Telecommunication	09/03/2024	3.239
Eldorado Resorts Inc	ELDORADO RESORTS 1L TL-B	USD	Hotels & Casinos	17/04/2024	3.567
Element Materials Technology Ltd	ELEMENT MATERIALS 1L TL-B EUR	EUR	Business Equip & Services	02/06/2024	3.227
Endo Pharmaceutical	ENDO DACIENDO FINANCE LLC/ENDO FIN RegS	USD	Drugs	15/10/2024	5.609
Endo Pharmaceutical	ENDO PHARMACEUTICAL 1L TL-B	USD	Drugs	27/04/2024	5.522
Endurance International Group Inc	ENDURANCE INTERNATIONAL 1L TL	USD	Business Equip & Services	09/02/2023	5.268
Texas Competitive Electric Holdings Co	ENERGY FUTURE HOLDINGS 1L TL	USD	Utilities	28/06/2018	4.215
Energy Transfer	ENERGY TRANSFER EQUITY LP 1L TL	USD	Oil & Gas	02/02/2024	3.964
Envision Healthcare Holdings Inc	ENVISION HEALTHCARE 1L TL-B	USD	Health Care	01/12/2023	4.232
EP Energy	EP ENERGY LLC 144A	USD	Oil & Gas	15/02/2025	10.273
EP Energy	EP ENERGY LLC RegS	USD	Oil & Gas	15/02/2025	10.273

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NEUBERGER BERMAN

## PORTFOLIO HOLDINGS As at September 30, 2017

Issuer	Security	Currency	Sector	Maturity	Current Yield (%)
Equinix	EQUINIX 1L TL-B EUR	EUR	Telecommunication	06/01/2024	2.492
Equinix	EQUINIX 1L TL-B1 GBP	GBP	Telecommunication	09/01/2023	3.328
Esh Hospitality Inc	ESH HOSPITALITY INC 1L TL-B	USD	Hotels & Casinos	30/08/2023	3.721
Intervias Finco Ltd	EURO GARAGES 1L TL EUR	EUR	Retailers	31/01/2023	3.977
Intervias Finco Ltd	EURO GARAGES 1L TL GBP	GBP	Retailers	31/01/2023	5.255
Euskaltel	EUSKALTEL 1L TL-B3	EUR	Cable TV	02/12/2022	3.715
Ferretgas Partners - LP	FERRELL GAS LP	USD	Oil & Gas	01/05/2021	6.701
Filteration Group Inc	FILTRATION GROUP INC 1L TL	USD	Industrial Equip	21/11/2020	4.214
First Data	FIRST DATA 1L TL USD	USD	Business Equip & Services	20/04/2024	3.726
Formula One	FORMULA ONE 1L TL-B	USD	Leisure	01/02/2024	4.211
Fortress Merger Sub Inc	FORT DEARBORN 1L TL B	USD	Publishing	19/10/2023	5.278
Forterra Inc	FORTERRA 1L TL	USD	Building & Dev	25/10/2023	5.009
Fortress Investment Group Llc	FORTRESS INVESTMENT GROUP 1L TL-B	USD	Financial Intermediaries	14/07/2022	2.725
Four Seasons Holdings Inc	FOUR SEASONS HOTEL 1L TL-B	USD	Hotels & Casinos	30/11/2023	3.711
Frontier Communications	FRONTIER COMMUNICATIONS 1L TL-A DD	USD	Telecommunication	31/03/2021	4.183
Frontier Communications	FRONTIER COMMUNICATIONS 1L TL-B	USD	Telecommunication	01/06/2024	5.263
Garda World Security Corp	GARDA WORLD SECURITY	USD	Business Equip & Services	03/05/2024	5.264
Gardner Denver Inc.	GARDNER DENVER 1L TL-B EUR	EUR	Industrial Equip	30/07/2024	3.011
Gatesair Inc	GARTNER S.A. TL-B	USD	Business Equip & Services	05/04/2024	3.211
Gates Global Llc	GATES GLOBAL 1L TL-B EUR	EUR	Industrial Equip	30/03/2024	3.481
Gavilan Resources Llc	GAVILAN RESOURCES 2L TL	USD	Oil & Gas	01/03/2024	7.493
GNC Holdings Inc	GENERAL NUTRITION CENTERS 1L TL	USD	Food & Drug Retailers	04/03/2019	3.925
Genesys Telecommunications Laboratories Inc	GENESYS TELECOM 1L TL-B EUR	EUR	Business Equip & Services	01/12/2023	3.721
Genesys Telecommunications Laboratories Inc	GENESYS TELECOM 1L TL-B	USD	Business Equip & Services	01/12/2023	4.975
Global Payments Inc	GLOBAL PAYMENTS 1L TL-B	USD	Business Equip & Services	02/05/2023	3.229
Godaddy Inc	GO DADDY 1L TL-B	USD	Electronics	15/02/2024	3.701
Golden Entertainment Inc	GOLDEN ENTERTAINMENT 1L TL-B	USD	Hotels & Casinos	15/08/2024	3.774
Golden Entertainment Inc	GOLDEN ENTERTAINMENT 2L TL	USD	Hotels & Casinos	15/08/2025	7.750
Gray Television Inc.	GRAY TELEVISION 1L TL-B	USD	Broadcast Radio & Tv	07/02/2024	3.723
Grifols	GRIFOLS WORLDWIDE 1L TL-B	USD	Health Care	19/01/2025	3.442
Greenvor Cap Mngmnt Hld	GROSVENOR CAPITAL 1L TL-B NEW	USD	Financial Intermediaries	18/08/2023	4.228
GTT Communications Inc	GTT COMMUNICATIONS INC TL-B	USD	Telecommunication	09/01/2024	4.483
Guggenheim Capital	GUGGENHEIM PARTNERS 1L TL-B	USD	Financial Intermediaries	22/07/2023	3.963
M&F Worldwide Corp	HARLAND CLARK HLDS CORP 1L TL-B6	USD	Publishing	09/02/2022	6.803
Harsco Corp	HARSCO 1L TL-B	USD	Industrial Equip	02/11/2023	6.175
HCA Holdings Inc	HCA 1L TL-B9	USD	Health Care	18/03/2023	3.225
Hd Supply Inc	HD SUPPLY 1L TL-B4	USD	Building & Dev	17/10/2023	3.814
Cobd Waterworks Merger Sub Llc	HD SUPPLY WATERWORKS 1L TL-B	USD	Building & Dev	01/08/2024	4.449
Helix Gen Funding Llc	HELIx GENERATION 1L TL-B	USD	Utilities	02/06/2024	5.031
Hertz Corp	HERTZ CORP	USD	Surface Transport	15/04/2019	6.767
Hertz Corp	HERTZ CORPORATION (THE) RegS	USD	Surface Transport	01/06/2022	7.394
Hertz Corp	HERTZ RENTALS 1L TL-B	USD	Surface Transport	30/06/2023	4.014
Highbridge Loan Management Ltd	HIGHBRIDGE LO E 144A	USD	Financial Intermediaries	15/11/2026	7.077
Hilton Worldwide Inc	HILTON 1L TL-B2	USD	Hotels & Casinos	25/10/2023	3.225
Holland & Barrett	HOLLAND & BARRETT 1L TL-B EUR	EUR	Food Products	04/08/2024	4.364
Husky Injection Molding Systems Ltd	HUSKY INJECTION 1L TL-B	USD	Industrial Equip	30/06/2021	4.458
Hyland Software Inc	HYLAND SOFTWARE 2L TL	USD	Electronics	07/07/2025	8.093
Hyland Software Inc	HYLAND SOFTWARE INC 1L TL	USD	Electronics	01/07/2022	4.447
Hyster-Yale Capital Holding Italy Srl	HYSTER-YALE GROUP 1L TL-B	USD	Industrial Equip	30/05/2023	5.183
Igt Holding Iv Ab	IFS 1L TL-B EUR	EUR	Electronics	24/07/2024	3.485
Nomad Foods Europe Midco Ltd	IGLO FOODS 1L TL-B	USD	Food Products	20/04/2024	3.971
Inventiv Health Inc	INC RESEARCH 1L TL-B	USD	Health Care	01/08/2024	3.474
Ineos AG	INEOS 1L TL-B EUR	EUR	Chemical	31/03/2022	3.234
Infor Inc	INFOR US INC 1L TL EUR	EUR	Electronics	01/02/2022	3.735
Infor Inc	INFOR US INC 1L TL USD	USD	Electronics	01/02/2022	4.094
Intelnet	INTELSIS S.A. 1L TL-B2	USD	Telecommunication	30/06/2019	4.086
International Game Technology Plc	INTERNATIONAL GAME TECHNOLOGY PLC RegS	USD	Hotels & Casinos	15/02/2020	5.325
Interoute Finco Plc	INTERROUTE 1L TL-B EUR	EUR	Telecommunication	28/09/2023	3.224
Jacobs Douwe Egberts Bv	JACOBS DOUWE EGBERTS 1L TL-B USD	USD	Food Products	02/07/2022	3.551
Jeld-Wen Inc	JELD-WEN 1L TL-B	USD	Building & Dev	01/07/2022	4.301
Jo-Ann Stores Llc	JO-ANN STORES 1L TL-B	USD	Retailers	21/10/2023	6.701
Kenan Advantage Group Inc	KENAN ADVANTAGE GROUP 1L TL-B1	USD	Surface Transport	29/07/2022	4.233
Kenan Advantage Group Inc	KENAN ADVANTAGE GROUP INC 1L TL-B2	USD	Surface Transport	29/07/2022	4.233
Kik Holdco Company Inc	KIK CUSTOM PRODUCTS INC 1L TL-B	USD	Cosmetics & Toiletries	26/08/2022	5.686
Kronos Inc	KRONOS 1L TL-B	USD	Business Equip & Services	01/11/2023	4.781
Kronos Inc	KRONOS 2L TL	USD	Business Equip & Services	01/11/2024	9.274
Lcm Ltd Partnership LCM	LCM XIX LIMIT E2 144A	USD	Financial Intermediaries	15/07/2027	7.008
Level 3 Communications Inc	LEVEL 3 FINANCING 1L TL-B	USD	Telecommunication	22/02/2024	3.488
Mallinckrodt CLO Ltd	MAGNETITE CLO E 144A	USD	Financial Intermediaries	25/10/2027	6.573
Mallinckrodt International	MALLINCKRODT 1L TL-B	USD	Drugs	24/09/2024	4.087
Manitowoc Foodservice Inc	MANITOWOC FOODSERVICE 1L TL-B	USD	Food Service	03/03/2023	3.962
Match Group Inc	MATCH 1L TL-B	USD	Leisure	16/11/2022	3.785
Mcafee Inc	MCAFFEE 1L TL-B EUR	EUR	Electronics	26/09/2024	4.220
Mcafee Inc	MCAFFEE 1L TL-B USD	USD	Electronics	26/09/2024	5.807
Mcafee Inc	MCAFFEE 2L TL	USD	Electronics	26/09/2025	9.833
Mrc Global (Us) Inc	MCJUNKIN 1L TL-B	USD	Steel	14/09/2024	4.689
Mediacom Communications Corporation	MEDIACOM ILLINOIS LLC 1L TL-K	USD	Cable TV	19/02/2024	3.447
Mediware Information Systems Inc.	MEDIWARE INFORMATION SYS 1L TL-B	USD	Business Equip & Services	09/02/2024	4.960
MGM Growth Properties LLC	MGM GROWTH PROPERTIES 1L TL-B	USD	Hotels & Casinos	25/04/2023	3.475
Midas Intermediate Holdco II Llc	MIDAS INTERMEDIATE HOLDCO 1L TL-B	USD	Automotive	18/08/2021	4.071
Comp Capital Investors II LP	MILACRON 1L TL-B	USD	Industrial Equip	24/09/2023	4.219
Minimax Gmbh & Co Kg	MINIMAX VIKING 1L TL-B USD	USD	Industrial Equip	16/08/2023	3.987
Nexstar Broadcasting Inc	MISSION BROADCASTING 1L TL	USD	Broadcast Radio & Tv	17/01/2024	3.729
Mitchell International Inc	MITCHELL INTERNATIONAL 1L TL	USD	Business Equip & Services	11/10/2020	4.778
Mitchell International Inc	MITCHELL INTERNATIONAL 2L TL	USD	Business Equip & Services	11/10/2021	8.754
MKS Instruments Inc	MKS INSTRUMENTS 1L TL-B	USD	Electronics	29/04/2023	3.460
Mohegan Gaming & Entertainment	MOHEGAN 1L TL TL	USD	Hotels & Casinos	13/10/2021	4.953
Mohegan Gaming & Entertainment	MOHEGAN 1L TL-B	USD	Hotels & Casinos	13/10/2023	5.189
Medical Properties Trust	MPT OPERATING PARTNERSHIP LP / MPT	USD	Health Care	15/10/2027	4.878
Mueller Water Products Inc	MUELLER WATER 1L TL-B	USD	Building & Dev	25/11/2021	3.749
MultiPlan Inc	MULTIPLAN 1L TL-B	USD	Health Care	07/06/2023	4.302
National Mentor Holdings	NATIONAL MENTOR 1L TL-B	USD	Health Care	31/01/2021	4.297
Nauticus Power Llc	NAUTICUS POWER 1L TL-B	USD	Utilities	16/05/2024	5.703
Aerial Merger Sub Inc	NEUSTAR 1L TL-B2	USD	Electronics	08/08/2024	5.026
Nexstar Broadcasting Group Inc	NEXSTAR BROADCASTING 1L TL-B	USD	Broadcast Radio & Tv	17/01/2024	3.728
Emerald Expositions	NIELSEN BUSINESS MEDIA 1L TL-B	USD	Leisure	22/05/2024	4.311
NRG Energy Inc	NRG ENERGY INC	USD	Utilities	15/07/2022	5.952
NRG Energy Inc	NRG ENERGY INC 1L TL-B	USD	Utilities	30/06/2023	3.581
SFR Group	NUMERICABLE 1L TL-B10 USD	USD	Cable TV	31/01/2025	4.549
SFR Group	NUMERICABLE ALTICE 1L TL-B11 EUR	EUR	Cable TV	22/06/2025	2.992
SFR Group	NUMERICABLE ALTICE 1L TL-B11 USD	USD	Cable TV	31/07/2025	4.083
OGF	OGF 1L TL-B EUR	EUR	Business Equip & Services	14/03/2023	3.721
On Assignment Inc	ON ASSIGNMENT 1L TL-B	USD	Business Equip & Services	05/06/2022	3.224
On Semiconductor Corporation	ON SEMICONDUCTOR 1L TL-B	USD	Electronics	31/03/2023	3.475
Sverica International Boston Llc	OPTIV SECURITY INC 1L TL	USD	Electronics	01/02/2024	4.867
Accuvant Inc	OPTIV SECURITY INC 2L TL	USD	Electronics	01/02/2025	9.384
Oxea Srl	OXEA 1L TL EUR	EUR	Chemical	12/10/2024	3.722
Peak 10 Inc	PEAK 10 1L TL	USD	Business Equip & Services	21/07/2024	4.811
Penn Engineering & Manufacturing Corp	PENN ENGINEERING 1L TL-B	USD	Conglomerates	27/06/2024	3.976
Penn National Gaming Inc	PENN NATIONAL GAMING 1L TL-B	USD	Hotels & Casinos	19/01/2024	3.712
Argos Holdings Inc	PETSMART 1L TL-B	USD	Retailers	10/03/2022	5.028
Pink Corp Us	PILOT TRAVEL CENTERS 1L TL-B	USD	Retailers	25/05/2023	3.217
Ply Gem Prime Holdings Inc	PLY GEM INDUSTRIES INC 1L TL-B	USD	Building & Dev	30/01/2021	4.290
Post Holdings Inc.	POST HOLDINGS 1L TL-B	USD	Food Products	24/05/2024	3.483
Pharmaceutical Product Development	PPD 1L TL	USD	Drugs	18/08/2022	4.018
Talen Energy Supply Llc	PPL ENERGY SUPPLY LLC	USD	Utilities	15/12/2021	5.593
PO Corp	PQ 1L TL-B	USD	Chemical	04/11/2022	4.523
PO Corp	PO CORP RegS	USD	Chemical	15/11/2022	6.236
Precision Drilling Corporation	PRECISION DRILLING CORPORATION	USD	Oil & Gas	15/12/2023	7.598
Premiere Global Services Inc	PREMIERE GLOBAL 1L TL	USD	Telecommunication	08/12/2021	8.078
Presidio Inc.	PRESIDO INC 1L TL	USD	Business Equip & Services	02/02/2022	4.519
Prestige Brands Holdings Inc	PRESTIGE BRANDS 1L TL-B4	USD	Cosmetics & Toiletries	26/01/2024	3.969
Proampac	PROAMPAC 1L TL	USD	Chemical	20/11/2023	5.235
Prime Security Services Borrower Llc	PROTECTION ONE 1L TL-B	USD	Business Equip & Services	02/06/2022	3.953
Quikrete Holdings Inc	QUIKRETE TL-B	USD	Building & Dev	15/11/2023	3.988
IMS Health	QUINTILES IMS 1L TL-B EUR	EUR	Health Care	02/03/2024	2.732
Inception Parent Inc	RACKSPACE 1L TL-B	USD	Electronics	03/11/2023	4.322
Radiate Holdco Llc	RCN GRANDE 1L TL	USD	Cable TV	01/02/2024	4.296
Realogy Corp	REALOY 1L TL-B	USD	Building & Dev	20/07/2022	3.569
Anschutz Co	REGAL ENTERTAINMENT 1L TL	USD	Leisure	01/04/2022	3.254

# NB Global Floating Rate Income Fund Limited

NEUBERGER BERMAN

## PORTFOLIO HOLDINGS As at September 30, 2017

Issuer	Security	Currency	Sector	Maturity	Current Yield (%)
Reynord Corp	REYNORD 1L TL-B	USD	Industrial Equip	21/08/2023	4.048
Reynolds	REYNOLDS GROUP HLDS 1L TL	USD	Containers & Glass	05/02/2023	4.220
Reynolds	REYNOLDS GROUP ISSUER LLC	USD	Containers & Glass	15/10/2020	5.651
Riverbed Holdings Inc	RIVERBED 1L TL-B	USD	Electronics	24/04/2022	4.602
Talen Energy Supply Llc	RJS POWER 1L TL-B1	USD	Utilities	06/07/2023	5.376
Talen Energy Supply Llc	RJS POWER 1L TL-B2	USD	Utilities	06/04/2024	5.477
Rover	ROVER 1L TL-B	USD	Oil & Gas	20/09/2024	4.949
Royalty Pharma Aq In Liquidation	ROYALTY PHARMA 1L TL-B6	USD	Financial Intermediaries	27/03/2023	3.315
Rserva Clo Ltd Rsrva, 16-3A	RSRVA 2016-3A E 144A	USD	Financial Intermediaries	18/10/2028	8.034
Sabine Pass Liquefaction LLC	SABINE PASS LIQUEFACTION LLC	USD	Oil & Gas	01/02/2021	5.191
Safetykleen	SAFETYKLEEN 1L TL-B1 EUR	EUR	Ecological Services & Equip	31/07/2024	3.241
Sally Beauty Holdings	SALLY BEAUTY 1L TL-B1	USD	Retailers	22/06/2024	3.759
Sally Beauty Holdings	SALLY BEAUTY FIXED 1L TL-B2	USD	Retailers	22/06/2024	4.511
Sam Finance Lux	SAM FINANCE 1L TL-B GBP	GBP	Financial Intermediaries	17/12/2020	4.992
Sam Finance Lux	SAM FINANCE 1L TL-B USD	USD	Financial Intermediaries	17/12/2020	4.552
Scientific Games	SCIENTIFIC GAMES 1L TL-B4	USD	Hotels & Casinos	14/08/2024	4.510
Scientific Games	SCIENTIFIC GAMES INTERNATIONAL INC RegS	USD	Hotels & Casinos	01/01/2022	6.596
Seaworld Parks & Entertainment	SEAWORLD 1L TL-B5	USD	Leisure	31/03/2024	4.480
Sedgwick Claims Management Servi	SEDGWICK HOLDINGS INC 1L TL-B	USD	Insurance	28/02/2021	4.564
Sedgwick Claims Management Services Inc	SEDGWICK NEW 2L TL	USD	Insurance	28/02/2022	7.014
Sedgwick Claims Management Services Inc	SEDGWICK OLD 1L TL	USD	Insurance	28/02/2021	3.981
Service Master	SERVICEMASTER 1L TL-B	USD	Business Equip & Services	08/11/2023	3.724
SFR Group	SFR GROUP SA RegS	USD	Cable TV	15/05/2022	5.742
SIG Combbloc	SIG / ONEX WIZARD NEW 1L TL-B EUR	EUR	Containers & Glass	13/03/2022	3.737
SIG Combbloc	SIG / ONEX WIZARD NEW 1L TL-B USD	USD	Containers & Glass	12/03/2022	4.227
Signode Industrial Group	SIGNODE INDUSTRIAL 1L TL-B USD	USD	Industrial Equip	01/05/2021	4.023
Skillsoft Corporation	SKILLSOFT 1L TL	USD	Electronics	28/04/2021	6.340
Skillsoft Corporation	SKILLSOFT 2L TL	USD	Electronics	28/04/2022	11.668
Solenis International LP	SOLENIS INTERNATIONAL 1L TL USD	USD	Chemical	31/07/2021	4.583
Solenis International LP	SOLENIS INTERNATIONAL 2L TL USD	USD	Chemical	31/07/2022	8.121
Solenis International LP	SOLENIS INTL 500 1L TL EUR	EUR	Chemical	31/07/2021	4.920
Solera Lc / Solera Finance Inc	SOLENERA 1L TL-B	USD	Business Equip & Services	03/03/2023	4.474
Spectrum Brands Inc	SPECTRUM BRANDS 1L TL-B	USD	Condolmerates	23/06/2022	3.296
Sprint Corp	SPRINT COMMUNICATIONS INC 1L TL-B	USD	Telecommunication	02/02/2024	3.748
Nidda Healthcare Holding Ag	STADA ARZNEITTEL TL B1 EUR	EUR	Health Care	20/09/2024	3.491
Nidda Healthcare Holding Ag	STADA ARZNEITTEL TL B2 GBP	GBP	Health Care	20/09/2024	4.480
Staples Inc	STAPLES 1L TL	USD	Retailers	14/08/2024	5.336
Station Casinos LLC	STATION CASINOS LLC 1L TL-B	USD	Hotels & Casinos	08/06/2023	3.739
Stonegate Pub Company Financing Plc	STONEGATE PUB COMPANY FINANCING PL RegS	GBP	Food Service	15/03/2022	4.830
Stonegate Pub Company Financing Plc	STONEGATE PUB COMPANY FINANCING PL RegS	GBP	Food Service	15/03/2022	4.688
Surgery Center Holdings Inc	SURGERY PARTNERS 1L TL	USD	Health Care	15/06/2024	4.530
Switch Ltd	SWITCH 1L TL-B	USD	Electronics	27/06/2024	3.958
Symphony Clo Ltd Symp, 12-9A	SYM, 12-9A ER 144A	USD	Financial Intermediaries	16/10/2028	8.449
Syniverse Technologies Inc	SYNIVERSE TECHNOLOGIES 1L TL NEW	USD	Telecommunication	23/04/2019	4.484
Syniverse Technologies Inc	SYNIVERSE TECHNOLOGIES 1L TL OLD	USD	Telecommunication	23/04/2019	4.484
Targa Resources Corp	TARGA RESOURCES PARTNERS LP	USD	Oil & Gas	15/11/2023	4.288
Tci-Flatiron Class E	TCI-FLATIRON E 144A	USD	Financial Intermediaries	17/07/2028	8.525
Team Health Inc.	TEAM HEALTH INC 1L TL-B	USD	Health Care	06/02/2024	4.064
Tekni-Plex Inc	TEKNI-PLEX 1L TL USD	USD	Containers & Glass	01/06/2022	4.813
Tekni-Plex Inc	TEKNI-PLEX 2L TL USD	USD	Containers & Glass	01/06/2023	9.061
Telenet	TELENET 1L TL-AH EUR	EUR	Cable TV	31/03/2026	2.975
Telenet	TELENET 1L TL-AI USD	USD	Cable TV	30/06/2025	3.974
Telesat Canada	TELESAT CANADA 1L TL-B	USD	Telecommunication	17/11/2023	4.211
Tempo Acquisition Llc	TEMPO ACQUISITION 1L TL-B	USD	Business Equip & Services	20/04/2024	4.234
Tenet Healthcare	TENET HEALTHCARE CORPORATION RegS	USD	Health Care	15/07/2024	4.667
Teachers Insurance And Annuity Tia, 17-1A	TIA 2017-1A E 144A	USD	Financial Intermediaries	20/04/2029	7.309
TMS International Corp	TMS INTERNATIONAL 1L TL-B	USD	Steel	09/08/2024	4.309
Trans Union Llc	TRANS UNION 1L TL-B2	USD	Business Equip & Services	09/04/2023	3.244
Transdigm Group Inc	TRANSDIGM INC 1L TL-D	USD	Aero & Defense	04/06/2021	4.318
Traverse Midstream Partners Llc	TRAVERSE MIDSTREAM 1L TL-B	USD	Oil & Gas	22/09/2024	5.264
Tribune Media Company	TRIBUNE MEDIA CO 1L TL-B	USD	Publishing	27/01/2024	4.231
Chs Inc	TRICORBRAUN 1L TL	USD	Containers & Glass	30/10/2023	5.060
Chs Inc	TRICORBRAUN 1L TL-D	USD	Containers & Glass	31/10/2023	3.733
Twin River Worldwide Holdings In	TWIN RIVER 1L TL-B	USD	Hotels & Casinos	10/07/2020	4.806
United Airlines Inc	UNITED CONTINENTAL 1L TL-B	USD	Air Transport	01/04/2024	3.551
United Group Bv	UNITED GROUP BV RegS	EUR	Cable TV	01/07/2023	4.331
United Group Bv	UNITED GROUP BV RegS	EUR	Cable TV	01/07/2022	4.268
United Group Bv	UNITED GROUP BV RegS	EUR	Cable TV	01/07/2024	4.757
Unitymedia Hessen Gmbh & Co Kg / Unitymedia Nrw Gmbh	UNITY MEDIA 1L TL-B	USD	Cable TV	30/09/2025	3.498
Univar Inc	UNIVAR 1L TL-B	USD	Chemical	01/07/2022	3.973
Univision	UNIVISION 1L TL-C5	USD	Broadcast Radio & Tv	15/03/2024	4.023
UPC Financing	UPC FINANCING 1L TL-AP USD	USD	Cable TV	15/04/2025	3.974
US Foodservice	US FOODS 1L TL-B	USD	Food Service	27/06/2023	3.963
Valeant Pharmaceuticals	VALEANT PHARMA 1L TL-BF3	USD	Drugs	01/04/2022	5.896
Valeant Pharmaceuticals	VALEANT PHARMACEUTICALS INTERNATIO 144A	USD	Drugs	15/03/2024	6.573
Valeant Pharmaceuticals	VALEANT PHARMACEUTICALS INTERNATIO 144A	USD	Drugs	15/03/2022	6.161
Valeant Pharmaceuticals	VALEANT PHARMACEUTICALS INTERNATIO RegS	USD	Drugs	15/05/2023	6.648
Vantiv Inc	VANTIV 1L TL-B	USD	Business Equip & Services	14/10/2023	1.996
Vedici SAS	VEDICI SAS 1L TL-B EUR	EUR	Health Care	31/10/2022	3.720
Verallia Packaging Sasu	VERALLIA 1L TL-B EUR	EUR	Containers & Glass	29/10/2022	2.999
Top Capital Management Inc	VERTAFORE 1L TL	USD	Electronics	17/06/2023	4.471
Kiwi Holding Iv Sarl	VFS GLOBAL 1L TL-B EUR	EUR	Leisure	14/06/2024	3.237
Kiwi Holding Iv Sarl	VFS GLOBAL 1L TL-B GBP	GBP	Leisure	14/06/2024	4.277
Virgin Media	VIRGIN MEDIA 1L TL-I	USD	Cable TV	31/01/2025	3.971
Virgin Media	VIRGIN MEDIA 1L TL-J	GBP	Cable TV	31/01/2026	3.740
Vistra Energy	VISTRA OPERATIONS CO 1L TL-B	USD	Utilities	04/08/2023	3.979
Vistra Energy	VISTRA OPERATIONS CO 1L TL-C	USD	Utilities	04/08/2023	3.976
Voya Financial Inc	VOYA CLO LTD, D2 144A	USD	Financial Intermediaries	20/10/2027	6.868
Walter Investment Management	WALTER INVESTMENT MANAGEMENT 1L TL	USD	Financial Intermediaries	18/12/2020	5.444
Warner Music Group	WARNER MUSIC 1L TL	USD	Leisure	01/11/2023	3.731
Waste Industries Usa Inc.	WASTE INDUSTRIES 1L TL-B	USD	Ecological Services & Equip	20/09/2024	4.215
Webster Park, CLO	WEBSTER PARK D 144A	USD	Financial Intermediaries	20/01/2027	7.322
Weight Watchers International Inc	WEIGHT WATCHERS INTERNATIONAL INC TL-B2	USD	Food Service	02/04/2020	4.647
Wex Inc	WEX 1L TL-B	USD	Business Equip & Services	01/07/2023	3.945
Wideopenwest Finance LLC	WIDOPENWEST 1L TL-B	USD	Cable TV	19/08/2023	4.496
Kfc Holding Co	YUM BRANDS 1L TL-B	USD	Food Service	16/06/2023	3.222
Zayo Group Holdings Inc	ZAYO GROUP 1L TL-B2	USD	Telecommunication	19/01/2024	3.484
Ziggo	ZIGGO SECURED FINANCE 1L TL-E USD	USD	Cable TV	23/04/2025	3.738
Ziggo	ZIGGO SECURED FINANCE 1L TL-F EUR	EUR	Cable TV	23/04/2025	2.984

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