

# NB Global Floating Rate Income Fund Limited

NEUBERGER BERMAN

PORTFOLIO HOLDINGS As at September 30, 2016

Issuer	Security	Currency	Sector	Maturity	Current Yield (%)
99 Cents Only Stores	99 CENTS ONLY STORES 1L TL-B2	USD	Retailers	11/01/2019	4.500
Wand Intermediate I LP	ABRA 1L TL	USD	Automotive	17/09/2021	4.750
Wand Intermediate I LP	ABRA 2L TL	USD	Automotive	19/09/2022	8.502
Acas CLO Ltd	ACAS CLO 2015 E 144A	USD	Financial Intermediaries	28/10/2027	6.643
Acosta Holdco Inc	ACOSTA 1L TL-B1	USD	Business Equip & Services	26/09/2021	4.250
Advanced Disposal Services Inc	ADS WASTE 1L TL-B2	USD	Ecological Services & Equip	09/10/2019	3.750
Advantage Sales & Marketing Inc	ADVANTAGE SALES & MARKETING 1L TL	USD	Business Equip & Services	23/07/2021	4.250
Advantage Sales & Marketing Inc	ADVANTAGE SALES & MARKETING 2L TL	USD	Business Equip & Services	25/07/2022	7.500
Aimco CLO	AIMCO CLO SER E 144A	USD	Financial Intermediaries	15/01/2028	8.500
Air Medical Buyer Corp	AIR MEDICAL 1L TL-B OLD	USD	Health Care	28/04/2022	4.250
Safeway Inc	ALBERTSON 1L TL-B4	USD	Food & Drug Retailers	25/08/2021	4.500
Safeway Inc	ALBERTSONS 1L TL-B5	USD	Food & Drug Retailers	21/12/2022	4.750
Allison Transmission Inc	ALLISON TRANSMISSION 1L TL-B3	USD	Automotive	23/08/2019	3.500
AI Chem & CY	ALLNEX 1L TL-B EUR	EUR	Chemical	18/05/2023	5.000
Amc Entertainment Inc.	AMC ENTERTAINMENT 1L TL	USD	Leisure	15/12/2022	4.000
American Airlines Group Inc	AMERICAN AIRLINES 1L TL-B1	USD	Air Transport	27/06/2020	3.353
American Airlines Group Inc	AMERICAN AIRLINES 1L TL-B1	USD	Air Transport	10/10/2021	3.250
American Builders & Contractors Supply C	AMERICAN BUILDERS & CONTRACTORS SU	USD	Building & Dev	16/04/2020	3.500
American Builders & Contractors Supply C	AMERICAN BUILDERS 1L TL-B	USD	Building & Dev	22/09/2023	3.500
Ameritold Realty Trust	AMERICOLD 1L TL-B	USD	Business Equip & Services	01/12/2022	5.750
Party City Holdings Inc	AMSCAN HOLDINGS 1L TL-B	USD	Retailers	19/08/2022	4.490
Apidos CLO	APIDOS 2015-2 D 144A	USD	Financial Intermediaries	20/10/2027	6.696
Applied Systems Inc	APPLIED SYSTEMS 2L TL	USD	Electronics	24/01/2022	7.500
Aramark	ARAMARK CORPORATION 1L TL-E	USD	Food Service	07/09/2019	3.338
Aramark	ARAMARK CORPORATION 1L TL-F	USD	Food Service	24/02/2021	3.338
Ardagh Group SA	ARDAGH HOLDINGS USA 1L TL-B2	USD	Containers & Glass	17/12/2019	4.000
Aristocrat Leisure Ltd	ARISTOCRAT LEISURE LTD 1L TL-B	USD	Hotels & Casinos	20/10/2021	3.500
Broadcom Ltd	AVAGO TECHNOLOGIES TL-B3	USD	Electronics	01/02/2023	3.838
Avast Software Bv	AVAST SOFTWARE 1L TL-B	USD	Business Equip & Services	03/08/2022	5.000
Avast Holding	AVAST SOFTWARE 1L TL-B EUR	EUR	Business Equip & Services	19/07/2022	4.750
B/E Aerospace Inc	B/E AEROSPACE 1L TL-B	USD	Aero & Defense	16/12/2021	3.787
Babson CLO Ltd Babsn_15-2a	BABSON CLO LT E 144A	USD	Financial Intermediaries	20/07/2027	6.246
Bass Pro Group LLC	BASS PRO GROUP 1L TL-B1	USD	Retailers	05/06/2020	4.000
Beacon Roofing Supply Inc.	BEACON ROOFING 1L TL-B	USD	Building & Dev	01/10/2022	4.000
Benefit Street Partners CLO Ltd Bsp_15-Via	BENEFIT STREE D 144A	USD	Financial Intermediaries	18/07/2027	6.029
Berlin Packaging LLC	BERLIN PACKAGING 1L TL	USD	Containers & Glass	01/10/2021	4.500
Berlin Packaging LLC	BERLIN PACKAGING 2L TL	USD	Containers & Glass	30/09/2022	7.750
Berry Plastics Group Inc	BERRY PLASTICS 1L TL-H	USD	Containers & Glass	03/10/2022	3.750
BMC Software Inc	BMC SOFTWARE FINANCE 1L TL	USD	Electronics	10/09/2020	5.000
Peninsula Gaming Partners LLC	BOYD GAMING CORP 1L TL-B2	USD	Hotels & Casinos	16/08/2023	3.524
Peninsula Gaming Partners LLC	BOYD GAMING CORPORATION 1L TL-B	USD	Hotels & Casinos	14/08/2020	4.000
Brand Energy And Infrastructure Services Inc	BRAND ENERGY 1L TL	USD	Business Equip & Services	26/11/2020	4.750
Brickman Group Ltd LLC	BRICKMAN GROUP HOLDINGS 1L TL	USD	Business Equip & Services	18/12/2020	4.000
Brickman Group Ltd LLC	BRICKMAN GROUP HOLDINGS 2L TL	USD	Business Equip & Services	17/12/2021	7.500
Brock Holdings III Inc	BROCK HOLDINGS III 1L TL-B	USD	Business Equip & Services	16/03/2017	7.000
Brock Holdings III Inc	BROCK HOLDINGS III INC 2L TL-B	USD	Business Equip & Services	16/03/2018	10.000
BSN Medical International Holding & Gmbh Co KG	BSN MEDICAL 1L TL-B1B USD	USD	Health Care	28/08/2019	4.000
BSN Medical International Holding & Gmbh Co KG	BSN MEDICAL 1L TL-C EUR	EUR	Health Care	28/08/2019	4.000
Restaurant Brands International Inc	BURGER KING 1L TL-B2 TL-B2	USD	Food Service	10/12/2021	3.750
Bway Holding Co	BWAY HOLDING COMPANY 1L TL-B	USD	Containers & Glass	14/08/2020	5.500
Next Luxembourg SCSp	CABLEVISION 1L TL-B	USD	Cable TV	11/10/2024	3.750
Calpine CCFC Holdings LLC	CALPINE CONSTRUCTION 1L TL-B1	USD	Utilities	03/05/2020	3.090
Calpine CCFC Holdings LLC	CALPINE CONSTRUCTION 1L TL-B2	USD	Utilities	31/01/2022	3.340
Calpine Corp	CALPINE CORP 1L TL-B6	USD	Utilities	15/01/2023	4.000
Calpine Corp	CALPINE CORP 1L TL-B7	USD	Utilities	31/05/2023	3.840
Capital Automotive LP	CAPITAL AUTOMOTIVE 1L TL-B	USD	Building & Dev	10/04/2019	4.000
Capital Automotive LP	CAPITAL AUTOMOTIVE 2L TL	USD	Building & Dev	30/04/2020	6.000
Capsugel Sa	CAPSUGEL 1L TL-B USD TL-B	USD	Drugs	31/07/2021	4.000
Catalent Pharma Solutions Inc	CATALENT PHARMA SOLUTIONS 1L TL	USD	Drugs	20/05/2021	4.250
Ccc Information Services Group Inc	CCC INFORMATION 1L TL-B	USD	Business Equip & Services	20/12/2019	4.000
Cdw Corp(Delaware)	CDW LLC 1L TL-B	USD	Retailers	17/08/2023	3.088
Cequel Communications LLC	CEQUEL COMMUNICATIONS 1L TL-B	USD	Cable TV	14/12/2022	4.250
CCO Holdings LLC/CCO Holdings Capital Corp	CHARTER COMM 1L TL-I	USD	Cable TV	24/01/2023	3.500
Citico Group Ltd	CITCO GROUP 1L TL-B	USD	Financial Intermediaries	29/06/2018	4.250
Citycenter Holdings LLC	CITYCENTER HOLDINGS 1L TL-B	USD	Hotels & Casinos	16/10/2020	4.250
IHeartcommunications Inc	CLEAR CHANNEL COMMS 1L TL-D	USD	Broadcast Radio & Tv	30/01/2019	7.274
Coherent Inc	COHERENT 1L TL-B EUR	EUR	Electronics	18/07/2023	4.250
Spin Holdco Inc	COINMACH LAUNDRY 1L TL-B	USD	Business Equip & Services	14/11/2019	4.250
Communications Sales & Leasing Inc	COMM SALES & LEASING 1L TL-B1	USD	Telecommunication	24/10/2022	5.000
Commscope Holding Co Inc	COMMSCOPE 1L TL-B1 TL-B1	USD	Electronics	29/12/2022	3.750
Community Health Systems Inc	COMMUNITY HEALTH SYSTEMS 1L TL-F1	USD	Health Care	31/12/2018	4.083
Community Health Systems Inc	COMMUNITY HEALTH SYSTEMS 1L TL-G1	USD	Health Care	31/12/2019	3.750
Community Health Systems Inc	COMMUNITY HEALTH SYSTEMS 1L TL-H1	USD	Health Care	27/01/2021	4.000
MI Acquisition Corp	CONCENTRA 1L TL-1	USD	Health Care	01/06/2022	4.000
Consolidated Communications Holdings Inc	CONSOLIDATED COMM INC 1L TLB	USD	Telecommunication	31/12/2023	4.000
Consolidated Communications Holdings Inc	CONSOLIDATED COMMUNICATIONS 1L TL	USD	Telecommunication	23/12/2020	4.250
Constantia Flexibles Holding Gmbh	CONSTANTIA FLEXIBLE 1L TL-B1 EUR	EUR	Containers & Glass	30/04/2022	4.000
Constantia Flexibles Holding Gmbh	CONSTANTIA FLEXIBLE 1L TL-B1 USD	USD	Containers & Glass	30/04/2022	4.750
Constantia Flexibles Holding Gmbh	CONSTANTIA FLEXIBLE 1L TL-B2 EUR	EUR	Containers & Glass	30/04/2022	4.750
Constantia Flexibles Holding Gmbh	CONSTANTIA FLEXIBLE 1L TL-B2 USD	USD	Containers & Glass	30/04/2022	4.750
Convatec Inc	CONVATEC 1L TL-B EUR	EUR	Health Care	14/06/2020	4.250
Convatec Inc	CONVATEC 1L TL-B USD	USD	Health Care	15/06/2020	4.088
Cooper Standard Holdings Inc	COOPER-STANDARD AUTOMOTIVE 1L TL-B	USD	Automotive	04/04/2021	4.000
Cowlitz Tribal Gaming Authority	COWLITZ TRIBAL GAMING 1L TL-B	USD	Hotels & Casinos	06/12/2021	11.500
Redtop Acquisitions Ltd	CPA GLOBAL 1L TL-B EUR	EUR	Business Equip & Services	03/12/2020	4.750
Redtop Acquisitions Ltd	CPA GLOBAL 1L TL-B USD	USD	Business Equip & Services	03/12/2020	4.500
Tricor Pacific Capital Partners (Fund Iv) LP	CPI CARD 1L TL	USD	Electronics	17/08/2022	5.500
Crosby Us Acquisition Corp	CROSBY WORLDWIDE 1L TL	USD	Industrial Equip	23/11/2020	4.000
Crosby Us Acquisition Corp	CROSBY WORLDWIDE 2L TL	USD	Industrial Equip	22/11/2021	7.000
Cablevision Systems Corporation	CSC HOLDINGS 1L TL-B	USD	Cable TV	09/10/2022	5.000
Cumberland Park CLO Ltd	CUMBERLAND PA E 144A	USD	Financial Intermediaries	20/07/2026	5.696
Cumulus Media Inc	CUMULUS MEDIA HOLDINGS 1L TL-B	USD	Broadcast Radio & Tv	23/12/2020	4.250
Ellician Inc	DATATEL-SOPHIA LP 1L TL B	USD	Electronics	30/09/2022	4.750
Davita Healthcare Partners Inc	DAVITA INC 1L TL-B	USD	Health Care	24/06/2021	3.500
De Masters Blenders 1753 Nv	DE MASTER BLENDERS 1753 EUR 1L TL-B	EUR	Food Products	01/07/2022	4.250
De Masters Blenders	DE MASTER BLENDERS USD 1L TL-B	USD	Food Products	02/07/2022	4.250
Del Monte Foods Inc	DEL MONTE FOODS 1L TL	USD	Food Products	18/02/2021	4.250
Del Monte Foods Inc	DEL MONTE FOODS 2L TL	USD	Food Products	18/08/2021	8.250
Dell Technologies Inc	DELL 1L TL-B	USD	Electronics	07/09/2023	4.000
DJO Finance LLC	DJO FINANCE 1L TL-B1	USD	Health Care	08/06/2020	4.250
Doosan Infracore Co Ltd	DOOSAN INFRACORE 1L TL-B	USD	Industrial Equip	28/05/2021	4.500
DTZ Holdings Plc	DTZ 1L TL-B	USD	Building & Dev	04/11/2021	4.769
DTZ Holdings Plc	DTZ 2L TL	USD	Building & Dev	04/11/2022	9.250
Dynegy Inc	DYNEGY 1L TL-C	USD	Utilities	27/06/2023	5.000
Vnu Intermediate Holding	EMERALD EXPOSITIONS 1L TL-B	USD	Leisure	17/06/2020	4.750
Emi Music Publishing Group North America Holdings Inc	EMI MUSIC 1L TL	USD	Publishing	19/08/2022	4.000
Endo International Plc	ENDO INTERNATIONAL 1L TL-B-1	USD	Drugs	26/09/2022	3.750
Texas Energy Future Holdings LP	ENERGY FUTURE 1L DIP TL	USD	Utilities	19/12/2016	4.250
Energy Transfer Equity LP	ENERGY TRANSFER 1L TL-B	USD	Oil & Gas	02/12/2019	3.292
Energy Transfer Equity LP	ENERGY TRANSFER 1L TL-C	USD	Oil & Gas	02/12/2019	4.042
Equinix Inc	EQUINIX INC 1L TLB GBP	GBP	Business Equip & Services	07/12/2022	4.500
Essential Power LLC	ESSENTIAL POWER 1L TL	USD	Utilities	08/08/2019	4.750

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Issuer	Security	Currency	Sector	Maturity	Current Yield (%)
Euro Garages Ltd	EURO GARAGES EUR 1L TL-B2	EUR	Retailers	30/01/2023	5.000
Euro Garages Ltd	EURO GARAGES GBP 1L TL-B1	GBP	Retailers	31/01/2023	5.769
Euskatel SA	EUSKATEL 1L TL-B3	EUR	Telecommunication	05/10/2022	4.750
Extended Stay America Inc	EXTENDED STAY 1L TL-B	USD	Hotels & Casinos	16/08/2023	3.750
Filtration Group Corp	FILTRATION GROUP 1L TL-B	USD	Industrial Equip	20/11/2020	4.250
Filtration Group Corp	FILTRATION GROUP 2L TL-B	USD	Industrial Equip	22/11/2021	8.250
First Data Corp	FIRST DATA CORP 21 1L TL-B USD	USD	Financial Intermediaries	24/03/2021	4.525
First Data Corp	FIRST DATA CORP 22 1L TL-B EUR	EUR	Financial Intermediaries	08/07/2022	3.376
New Omaha Hldgs	FIRST DATA CORP 22 1L TL-B USD	USD	Financial Intermediaries	08/07/2022	4.275
Fleetcor Technologies Operating Company LLC	FLEETCOR TECHNOLOGIES 1L TL-B	USD	Business Equip & Services	30/09/2021	3.750
Delta Topco Ltd	FORMULA ONE 1L TL-B3	USD	Leisure	30/07/2021	4.750
Delta Topco Ltd	FORMULA ONE 2L TL	USD	Leisure	31/07/2022	7.750
Fortescue Metals Group Ltd	FORTESCUE METALS GROUP 1L TL	USD	Steel	30/06/2019	3.750
Four Seasons Holdings Inc	FOUR SEASONS HOTEL & TOWER 1L TL	USD	Hotels & Casinos	27/06/2020	3.750
Four Seasons Holdings Inc	FOUR SEASONS HOTEL & TOWER 2L TL	USD	Hotels & Casinos	27/12/2020	6.250
Gcg Manager Luxco Sca	GALA GROUP 1L TL-C GBP	GBP	Hotels & Casinos	25/05/2018	4.767
Garda World Security Corp	GARDA WORLD SECURITY 1L DDTL	USD	Business Equip & Services	06/11/2020	4.004
Garda World Security Corp	GARDA WORLD SECURITY 1L TL-B	USD	Business Equip & Services	06/11/2020	4.004
Gates Global LLC	GATES GLOBAL 1L TL	USD	Industrial Equip	06/07/2021	4.250
GNC Holdings Inc	GENERAL NUTRITION CENTERS 1L TL	USD	Food & Drug Retailers	04/03/2019	3.250
Genesys Telecommunications Laboratories Inc	GENESYS TELECOM HOLDINGS 1L TL-B2	USD	Business Equip & Services	13/11/2020	4.500
Global Payments Inc	GLOBAL PAYMENTS 1L TL-B	USD	Business Equip & Services	21/04/2023	4.024
Godaddy Inc	GO DADDY OPERATING COMPANY 1L TL-B	USD	Electronics	13/05/2021	4.250
Graton Economic Development Authority	GRATON CASINO 1L TL-B	USD	Hotels & Casinos	01/09/2022	4.750
Gray Television Inc	GRAY TELEVISION 1L TL	USD	Broadcast Radio & Tv	13/06/2021	3.938
Grifols Sa	GRIFOLS 1L TL-B	USD	Health Care	27/02/2021	3.448
Grosvenor Cap Mngmnt Hld	GROSVENOR CAPITAL 1L TL-B NEW	USD	Financial Intermediaries	18/08/2023	4.000
Guggenheim Partners Investment Management LLC	GUGGENHEIM PARTNERS 1L TL	USD	Financial Intermediaries	22/07/2020	4.477
HCA Holdings Inc	HCA INC 1L TL-B6	USD	Health Care	17/03/2023	3.774
HD Supply Holdings Inc	HDS SUPPLY 1L TL	USD	Building & Dev	13/08/2021	3.750
Hertz Global Holdings Inc	HERTZ RENTALS 1L TL-B	USD	Surface Transport	30/06/2023	3.500
Highbridge Loan Management Ltd	HIGHBRIDGE LO E 144A	USD	Financial Intermediaries	15/11/2026	6.567
Hilton Worldwide Finance Llc	HILTON 1L TL	USD	Hotels & Casinos	26/10/2020	3.500
Hilton Worldwide Holdings Inc	HILTON 1L TL-B2	USD	Hotels & Casinos	25/10/2023	3.097
Huntsman Corp	HUNTSMAN 1L TL-B	USD	Chemical	18/03/2023	4.250
Huntsman Corp	HUNTSMAN 1L TL-B	USD	Chemical	01/10/2021	3.750
Husky Injection Molding Systems Ltd	HUSKY INJECTION 1L TL-B	USD	Industrial Equip	30/06/2021	4.250
Husky Injection Molding Systems Ltd	HUSKY INJECTION 2L TL-B	USD	Industrial Equip	30/06/2022	7.250
Iasis Healthcare LLC	IASIS HEALTHCARE LLC 1L TL-B2	USD	Health Care	03/05/2018	4.500
Aercap Holdings NV	ILFC FLYING FORTRESS 1L TL-B	USD	Equipment Leasing	30/04/2020	3.588
Immucor Inc	IMMUCOR 1L TL-B2	USD	Health Care	19/08/2018	5.000
IMS Health Incorporated	IMS HEALTH 1L TL-B EUR	EUR	Health Care	17/03/2021	3.750
IMS Health Incorporated	IMS HEALTH 1L TL-B USD	USD	Health Care	17/03/2021	3.500
Ineos AG	INEOS 1L TL-B EUR	EUR	Chemical	31/03/2022	4.250
Ineos AG	INEOS 1L TL-B EUR	EUR	Chemical	15/12/2020	4.000
Infor US Inc	INFOR ENTERPRISE 1L TL-B5	USD	Electronics	03/06/2020	3.750
Intelsat Jackson Holdings SA	INTELSAT S.A. 1L TL-B2	USD	Telecommunication	30/06/2019	3.750
Jc Penney Co Inc	JC PENNEY 1L TL-B	USD	Retailers	23/06/2023	5.250
Jeld-Wen Inc	JELD-WEN 1L TL-B	USD	Building & Dev	15/10/2021	5.250
Kar Holdings II LLC	KAR AUCTION SERVICES 1L TL-B3	USD	Business Equip & Services	28/02/2023	4.375
Kenan Advantage Group Inc	KENAN ADVANTAGE GROUP 1L DDTL	USD	Surface Transport	31/01/2017	3.000
Kenan Advantage Group Inc	KENAN ADVANTAGE GROUP 1L TL-B1	USD	Surface Transport	29/07/2022	4.000
Kenan Advantage Group Inc	KENAN ADVANTAGE GROUP INC 1L TL-B2	USD	Surface Transport	29/07/2022	4.000
Kleopatra Holdings 1 SCA	KLOECKNER 1L TL USD	USD	Containers & Glass	28/04/2020	5.000
Kleopatra Holdings 1 SCA	KLOECKNER GERMANY 1L TL USD	USD	Containers & Glass	28/04/2020	5.000
Kronos Incorporated	KRONOS 1L TL	USD	Business Equip & Services	30/10/2019	4.500
Kronos Incorporated	KRONOS INC 2L TL	USD	Business Equip & Services	30/04/2020	9.750
Lcm Ltd Partnership LCM	LCM XIX LIMIT E2 144A	USD	Financial Intermediaries	15/07/2027	6.380
Level 3 Financing Llc	LEVEL 3 COMMUNICATIONS 1L TL-B4	USD	Telecommunication	15/01/2020	4.000
Magnette CLO Ltd	MAGNETTE CLO E 144A	USD	Financial Intermediaries	25/10/2027	7.165
Mallinckrodt Plc	MALLINCKRODT 1L TL-B	USD	Drugs	19/03/2021	3.338
Mallinckrodt Plc	MALLINCKRODT 1L TL-B1	USD	Drugs	19/03/2021	3.588
Manitowoc Foodservice Inc	MANITOWOC FOODSERVICE 1L TL-B	USD	Food Service	03/03/2023	5.750
Match Group Inc	MATCH GROUP 1L TL-B	USD	Leisure	16/11/2022	5.500
Mauser-Werke Gmbh	MAUSER 1L TL	USD	Containers & Glass	31/07/2021	4.500
Mauser-Werke Gmbh	MAUSER 2L TL	USD	Containers & Glass	31/07/2022	8.750
MRC Global (US) Inc	MCJUNKIN RED MAN 1L TL	USD	Steel	08/11/2019	5.000
MGM Growth Properties LLC	MGM GROWTH PROPERTIES 1L TL-B	USD	Financial Intermediaries	25/04/2023	4.000
Michaels Stores Inc	MICHAELS STORES 1L TL-B	USD	Retailers	28/01/2020	3.274
Michaels Stores Inc	MICHAELS STORES 1L TL-B2	USD	Retailers	28/01/2020	4.000
Midas Intermediate Holdco II LLC	MIDAS INTERMEDIATE 1L TL-B	USD	Automotive	18/08/2021	4.500
Milacron LLC	MILACRON TL-B1 USD 9/20 1L TL-B1	USD	Industrial Equip	28/09/2020	4.250
Minimax Gmbh & Co KG	MINIMAX VIKING 1L TL-B1	USD	Industrial Equip	14/08/2020	4.000
Mitchell International Inc	MITCHELL INTERNATIONAL 1L TL	USD	Business Equip & Services	13/10/2020	4.500
Mitchell International Inc	MITCHELL INTERNATIONAL 2L TL	USD	Business Equip & Services	11/10/2021	8.500
Mks Instruments Inc	MKS INSTRUMENTS INC TERM B 1L	USD	Electronics	01/05/2023	4.250
Mohegan Tribal Gaming Authority	MOHEGAN GAMING 1L TL-B	USD	Hotels & Casinos	30/09/2023	5.500
Mohegan Tribal Gaming Authority	MOHEGAN TRIBAL GAMING 1L TL-B	USD	Hotels & Casinos	15/06/2018	5.500
Eldorado Resorts Inc	MTR GAMING CORP 1L TL-B	USD	Hotels & Casinos	25/07/2022	4.250
Mueller Water Products Inc	MUELLER WATER PRODUCTS 1L TL-B	USD	Building & Dev	25/11/2021	4.027
MPH Acquisition Holdings LLC	MULTIPLAN 1L TL-B	USD	Health Care	07/06/2023	5.000
Ntri Mntr Hldgs	NATIONAL MENTOR HOLDINGS INC 1L TL	USD	Health Care	31/01/2021	4.250
Nbg Bank Loan Accrual	NBG BANK LOAN ACCRUAL EUR Prvt	EUR	1Cash Accruals	01/10/2016	
Nbg Bank Loan Accrual	NBG BANK LOAN ACCRUAL GBP Prvt	GBP	1Cash Accruals	01/10/2016	0.269
Nbg Bank Loan Accrual	NBG BANK LOAN ACCRUAL USD Prvt	USD	1Cash Accruals	01/10/2016	0.531
Alphabet Holding Company Inc	NBTY 1L TL-B GBP	GBP	Food Products	14/04/2023	6.250
Alphabet Holding Company Inc	NBTY 1L TL-B USD	USD	Food Products	05/05/2023	5.000
Neiman Marcus Group Ltd	NEIMAN MARCUS GROUP 1L TL-B	USD	Retailers	25/10/2020	4.250
Nexstar Broadcasting Group Inc	NEXSTAR BROADCASTING 1L TL-B	USD	Broadcast Radio & Tv	22/09/2023	3.000
NRG Energy Inc	NRG ENERGY 1L TL-B	USD	Utilities	07/06/2023	3.500
Numericable Sfr SA	NUMERICABLE 1L TL-B EUR	EUR	Cable TV	15/04/2023	4.500
Numericable Sfr SA	NUMERICABLE 1L TL-B6 EUR	EUR	Cable TV	10/02/2023	4.750
Nxp Semiconductors	NXP 1L TL-B	USD	Electronics	07/12/2020	2.500
Ocwen Financial Corporation	OCWEN FINANCIAL 1L TL	USD	Financial Intermediaries	15/02/2018	5.500
On Assignment Inc	ON ASSIGNMENT 1L TL-B	USD	Business Equip & Services	03/06/2022	3.500
On Semiconductor Corp	ON SEMICONDUCTOR 1L TL B	USD	Electronics	19/09/2023	3.250
Petsmart Inc	PETSMART 1L TL-B1	USD	Retailers	11/03/2022	4.250
Pilot Corp/Us	PILOT TRAVEL CENTERS 1L TL-B	USD	Retailers	25/05/2023	3.274
Pinnacle Foods Inc	PINNACLE FOODS FINANCE LLC 1L TERM	USD	Food Products	13/01/2023	3.275
Ply Gem Industries Inc	PLY GEM INDUSTRIES INC 1L TL	USD	Building & Dev	01/02/2021	4.000
Pharmaceutical Product Development LLC	PPDI 1L TL-B	USD	Health Care	18/08/2022	4.250
PG Corp	PQ CORP 1L TL USD	USD	Chemical	04/11/2022	5.750
Premiere Global Services Inc	PREMIERE GLOBAL 1L TL	USD	Telecommunication	05/05/2022	7.500
Presidio Holdings Inc	PRESIDIO 1L TL	USD	Business Equip & Services	02/02/2022	5.250
Prime Security Services Topco Parent	PROTECTION ONE 1L TL-B1	USD	Business Equip & Services	02/05/2022	4.750
Realogy Holdings Corp	REALOGY GROUP 1L TL-B	USD	Building & Dev	07/07/2022	3.750
Anschutz Co	REGAL CINEMAS CORP 1L TL-B	USD	Leisure	01/04/2022	3.500
Rexnord Corp	REXNORD 1L TL-B	USD	Industrial Equip	21/08/2020	4.000
Packaging Holdings Ltd	REYNOLDS GROUP 1L TL USD	USD	Containers & Glass	14/01/2023	4.250
Reynolds Group Holdings Inc	REYNOLDS GROUP ISSUER INC 144A	USD	Containers & Glass	15/07/2021	4.127
Riverbed Holdings Inc	RIVERBED TECHNOLOGY 1L TL-B	USD	Electronics	27/04/2022	5.000
Royalty Pharma AG	ROYALTY PHARMA 1L TL-B4	USD	Financial Intermediaries	09/11/2020	3.588

# NB Global Floating Rate Income Fund Limited

NEUBERGER BERMAN

PORTFOLIO HOLDINGS As at September 30, 2016

Issuer	Security	Currency	Sector	Maturity	Current Yield (%)
Cheniere Energy Inc	SABINE PASS LIQUEFACTION LLC	USD	Oil & Gas	01/02/2021	5.625
Sam Finance Lux	SAM FINANCE 1L TL-B GBP	GBP	Financial Intermediaries	17/12/2020	5.000
Sam Finance Lux	SAM FINANCE 1L TL-B USD	USD	Financial Intermediaries	17/12/2020	4.250
Scientific Games Corporation	SCIENTIFIC GAMES 1L TL-B2	USD	Hotels & Casinos	01/10/2021	6.000
Seaworld Entertainment Inc	SEAWORLD 1L TL-B2 TL-B2	USD	Leisure	14/05/2020	3.088
Sedgwick Claims Management Services Inc	SEDGWICK NEW 1L TL	USD	Insurance	01/03/2021	5.250
Sedgwick Claims Management Services Inc	SEDGWICK NEW 2L TL	USD	Insurance	28/02/2022	6.750
Sedgwick Claims Management Services Inc	SEDGWICK OLD 1L TL	USD	Insurance	01/03/2021	3.750
Servicemaster Company LLC	SERVICEMASTER COMPANY 1L TL-B	USD	Business Equip & Services	01/07/2021	4.250
Onex Wizard Acquisition Co III Sarl	SIG / ONEX WIZARD 1L TL-B EUR	EUR	Containers & Glass	10/03/2022	1.000
Onex Wizard Acquisition Co III Sarl	SIG / ONEX WIZARD 1L TL-B USD	USD	Containers & Glass	11/03/2022	4.000
Skillssoft Corporation	SKILLSOFT 1L TL	USD	Electronics	28/04/2021	5.750
Skillssoft Corporation	SKILLSOFT 2L TL	USD	Electronics	28/04/2022	9.250
Solenis International LP	SOLENIS INTERNATIONAL 1L TL USD	USD	Chemical	31/07/2021	4.250
Solenis International LP	SOLENIS INTERNATIONAL 2L TL USD	USD	Chemical	31/07/2022	7.750
Solenis International LP	SOLENIS INTL 500 1L TL EUR	EUR	Chemical	31/07/2021	5.000
Spectrum Brands Inc	SPECTRUM BRANDS 1L TL	USD	Conglomerates	23/06/2022	3.503
Spectrum Brands Inc	SPECTRUM BRANDS 1L TL-1	EUR	Conglomerates	23/06/2022	3.500
Springer Verlag GmbH	SPRINGER SCIENCE 1L TL-B9 USD	USD	Publishing	14/08/2020	4.750
Sram International Corp	SRAM 1L TL	USD	Leisure	10/04/2020	4.000
Station Casinos LLC	STATION CASINOS 1L TL-B	USD	Hotels & Casinos	08/06/2023	3.750
Information Resources Inc	SYMPHONYRIRI GROUP 1L TL-B	USD	Business Equip & Services	30/09/2020	4.750
Syniverse Holdings Inc	SYNIVERSE TECHNOLOGIES 1L TL OLD	USD	Telecommunication	23/04/2019	4.000
T-Mobile USA Inc	T-MOBILE USA 1L TL-B	USD	Telecommunication	09/11/2022	3.500
Talen Energy Corp	TALEN ENERGY SUPPLY LLC 144A	USD	Utilities	15/07/2019	4.625
Targa Resources Corp	TARGA RESOURCES INC 1L TL-B	USD	Oil & Gas	27/02/2022	5.750
Tci-Flatiron Class E	TCI-FLATIRON E 144A	USD	Financial Intermediaries	17/07/2028	7.912
Team Health Holdings Inc	TEAM HEALTH 1L TL	USD	Health Care	23/11/2022	3.838
Tekni-Plex Inc	TEKNI-PLEX 1L TL USD	USD	Containers & Glass	01/06/2022	4.500
Tekni-Plex Inc	TEKNI-PLEX 2L TL USD	USD	Containers & Glass	01/06/2023	8.750
Telenet Nv	TELENET 1L TL-B	USD	Cable TV	01/07/2024	4.357
Telesat LLC	TELESAT 1L TL-B2	USD	Cable TV	28/03/2019	3.500
Tenet Hithor Corp	TENET HEALTHCARE CORPORATION	USD	Health Care	15/06/2020	4.350
Texas Energy Future Holdings LP	TEXAS COMPETITIVE 1L DIP TL-B	USD	Utilities	31/10/2017	5.000
Texas Energy Future Holdings LP	TEXAS COMPETITIVE 1L DIP TL-C	USD	Utilities	31/10/2017	5.000
Camelot Uk Holdco Ltd	THOMSON REUTERS 1L TLB	USD	Publishing	15/09/2023	4.750
TMS International Corp	TMS INTERNATIONAL 1L TL-B	USD	Steel	16/10/2020	4.500
Eastern Power Lic	TPF II 1L TL-B	USD	Utilities	02/10/2021	5.000
Transdigm Group Inc	TRANSDIGM 1L TL-F FUNDED	USD	Aero & Defense	25/05/2023	3.750
Transdigm Group Inc	TRANSDIGM 1L TLF DDTL	USD	Aero & Defense	25/05/2023	3.750
Transdigm Group Inc	TRANSDIGM INC 1L TL-D	USD	Aero & Defense	04/06/2021	3.832
Transunion Holding Co Inc	TRANSUNION 1L TL-B2	USD	Business Equip & Services	09/04/2021	3.588
Tribune Media Company	TRIBUNE MEDIA COMPANY 1L TL-B	USD	Publishing	27/12/2020	3.750
Twin River Management Group Inc	TWIN RIVER MANAGEMENT 1L TL-B	USD	Hotels & Casinos	10/07/2020	5.250
United Airlines Inc	UNITED AIRLINES 1L TL-B	USD	Air Transport	01/04/2019	3.250
United Airlines Inc	UNITED AIRLINES INC 1L TL-B	USD	Air Transport	15/09/2021	3.500
United Biscuits Holdings	UNITED BISCUITS 1L TL-B1 GBP	GBP	Food Products	19/11/2021	4.638
Univar Inc	UNIVAR USA 1L TL-B	USD	Chemical	01/07/2022	4.250
Univision Communications Inc	UNIVISION 1L TL-C3	USD	Broadcast Radio & Tv	01/03/2020	4.000
Univision Communications Inc	UNIVISION 1L TL-C4	USD	Broadcast Radio & Tv	01/03/2020	4.000
Liberty Global Plc	UPC DISTRIBUTION 1L TL AN	USD	Cable TV	25/07/2024	3.734
Axalta Coating Systems Us Holdings Inc	US COATINGS ACQUISITION 1L TL-B	USD	Chemical	01/02/2020	3.750
Us Foods Holding Corp	US FOODS 1L TL-B	USD	Food Service	15/06/2023	4.000
Valeant Pharmaceuticals International Inc	VALEANT PHARMA 1L TL-F1	USD	Drugs	01/04/2022	5.500
Vantiv Inc	VANTIV 1L TL-B	USD	Electronics	13/06/2021	3.500
Vedici SAS	VEDICI SAS 1L TL-B EUR	EUR	Health Care	31/07/2022	4.500
Brh Holdings Gp Ltd	VERALLIA 1L TL-B	EUR	Containers & Glass	29/10/2022	4.500
Tpg Capital Management	VERTAFORE 1L TL	USD	Electronics	30/06/2023	4.750
Virgin Media Finance Plc	VIRGIN MEDIA FINANCE 1L TL-E GBP	GBP	Cable TV	30/06/2023	4.250
Voya Financial Inc	VOYA CLO LTD. D2 144A	USD	Financial Intermediaries	20/10/2027	6.146
WVR Funding Inc	VWR FUNDING 1L TL-B EUR	EUR	Health Care	15/01/2022	4.000
Walter Investment Management Corp	WALTER INVESTMENT MANAGEMENT 1L TL	USD	Financial Intermediaries	18/12/2020	4.750
Waste Industries Usa Inc.	WASTE INDUSTRIES 1L TL-B1	USD	Ecological Services & Equip	27/02/2020	3.500
Webster Park CLO	WEBSTER PARK D 144A	USD	Financial Intermediaries	20/01/2027	6.796
Wex Inc	WEX 1L TL-B	USD	Business Equip & Services	24/06/2023	4.250
Wideopenwest Finance LLC	WIDEPENWEST 1L TL-B	USD	Cable TV	11/08/2023	4.500
Windstream Holdings Inc	WINDSTREAM 1L TL-B6	USD	Telecommunication	16/03/2021	5.000
Yum! Brands Inc.	YUM BRANDS 1L TLB	USD	Food Service	16/06/2023	3.281
Zayo Group LLC	ZAYO GROUP 1L TL-B1	USD	Telecommunication	06/05/2021	3.750
Zebra Technologies Corporation	ZEBRA TECHNOLOGIES 1L TL-B	USD	Electronics	27/10/2021	4.089
Ziggo BV	ZIGGO 1L TL-C EUR	EUR	Cable TV	31/08/2024	3.750
Ziggo BV	ZIGGO B.V. 1L DDTL-B3 USD	USD	Cable TV	15/01/2022	3.701
Ziggo BV	ZIGGO B.V. 1L TL-B1 USD	USD	Cable TV	15/01/2022	3.652
Ziggo BV	ZIGGO B.V. 1L TL-B2 USD	USD	Cable TV	15/01/2022	3.656

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